Central Recordkeeping Agency

NSDL e-Governance Infrastructure Limited



Standard Operating Procedure (SOP)

for

One way Switch by POP / POP - Service Providers (POP-SP)

(Version 1.0)

Acronyms and Abbreviations

The following definitions, acronyms & abbreviations have been used in this document:

ACRONYM	DESCRIPTION
CRA	Central Recordkeeping Agency
CRA-FC	CRA Facilitation Centre
DSC	Digital Signature Certificate
NPS	National Pension System
PFRDA	Pension Fund Regulatory and Development Authority of India
NSDL	NSDL e-Governance Infrastructure Limited
PRAN	Permanent Retirement Account Number

Important terms defined:

IMPORTANT TERMS	DESCRIPTION
CRA-FC	CRA-FC is Facilitation Centre appointed by CRA to facilitate Nodal Offices (PoP) to submit applications for allotment of PRAN
РОР	PFRDA has appointed entities known as Points of Presence (POPs) to extend customer interface for all citizens of India, who wish to open Permanent Retirement Account (PRA) with CRA for the purpose of subscribing to the NPS.
POP-SP	PoPs shall provide the services under NPS through their network of branches called PoP Service Providers (PoP-SP)
PRAN	12 digits unique Permanent Retirement Account Number allotted by CRA to each Subscriber registered in CRA system.
Subscriber	The citizen of India who has opened Permanent Retirement Accounts (PRA) with CRA for the purpose of subscribing to the NPS.
Maker User	The user responsible for entering/capturing various requests in the CRA system.
Authoriser/Che cker User	The user responsible for authorising and confirming the details entered/captured by the maker user of the same POP-SPs.

Table of Contents

Sr. no.	Contents	Page no.
1.A	Introduction	4
1.B	Salient Features of one way switch	4
1.C	Brief process of One Way Switch	4
2.1	Capturing of One way Switch request	6
2.2	Authorization of One way switch request	14
3	Timelines	22

One way switch:

1. A. Introduction

All the NPS subscribers registered under All Citizens of India [Unorganised Sector (UOS)] and Corporate sector and having both Active Tier I and Tier II accounts have the facility to switch funds from Tier-II to Tier-I account. This facility is called 'One Way Switch'. Under the functionality of one way switch, the subscriber has an option to transfer funds from Tier II to Tier II account, however the vice-versa is not allowed i.e., transfer of funds from Tier I to Tier II account is not allowed. For availing this facility, the Subscriber is required to submit a written request at its parent POP-SP. POP/POP-SP will execute the 'One way switch request' in the CRA system for the subscribers associated with them. This document describes the standard operating procedure to be followed by POP for execution of 'One way switch' request.

1. B. Salient Features of one way switch:

- 1. NPS subscribers from UOS and Corporate Sector with Active Tier I and Tier II accounts can opt for the facility of one way switch to transfer funds from Tier II to Tier I account.
- 2. Transfer of funds from Tier II to Tier I without any minimum or maximum limit in amount.
- 3. There is no maximum limit on the number of one way switches that can be requested by a Subscriber depending on the availability of holding in the PRAN.
- 4. The amount switched is considered as contribution to Tier I account and hence will be considered for fulfillment of criterion of minimum contribution amount in Tier I.

1. C. Below is the brief process flow for 'One way switch':

- The Subscriber has to submit the request as per the prescribed format at any POP-SP of his/her POP. The form (UOS-S) for request for switch (from Tier II to Tier I) can be freely downloaded from CRA website www.npscra.nsdl.co.in.
- POP-SP shall verify the request before processing the request in the CRA system. POP-SP should check the following details:
 - > The PRAN provided is under UOS or Corporate Sector model
 - > Validity of the PRAN mentioned by the Subscriber
 - > PRAN (Subscriber) association with the POP-SP
 - > The status of Tier I & Tier II account

- POP-SP shall issue a 17 digit receipt number as an acknowledgment for the accepted request. POP/POP-SP shall generate this receipt number for the request. The 'Receipt Number' should be as per the algorithm specified by CRA as mentioned below:
 - First 2 digits (from left) Type of request e.g., **22** for One way switch.
 - Next 7 digits Registration Number of POP-SP e.g., 6000002
 - Next 8 digits Running sequence number eg.00000001

For Example: 17 digit receipt number will be "22600000200000001"

- Upload of MIS by the POP in the CRA system
- Capturing of the request by POP/POP-SP (maker user) in the CRA system
- Authorization of One way switch request by POP/POP-SP (checker user) in the CRA system.
- POP has to retain the form and need not send any supporting documents to CRA FC.

The activities that will be performed by POP & POP-SP in case of Centralized Model and Decentralized Model are given below:

Activity	Centralized Model	Decentralized Model
Acceptance of One way switch request from subscriber	POP-SP	POP-SP
Issuance of acknowledgment receipt to subscriber	POP-SP	POP-SP
Capturing of One way Switch request	POP (by maker)	POP-SP (by maker)
Authorization of One way switch request	POP (by checker)	POP-SP (by checker)
Upload of MIS	РОР	POP-SP

Summary

- POP-SP shall accept the One way switch request for a Subscriber who is associated with it.
- POP-SP to verify the request and shall issue 17 digit receipt number as an acknowledgment for the accepted request.
- POP/POP-SP to upload MIS in the CRA system for the accepted requests.
- POP/POP-SP shall process the request in the CRA system by using DSC based user IDs allotted by CRA.

2.1. MIS upload

POP/POP-SP shall upload the MIS in the CRA system for the one way switch requests similar to various other functions carried out by POP under NPS such as acceptance of Subscriber registration applications for allotment of PRAN, contribution acceptance, etc. The MIS uploaded for one way switch can be rejected if the status of the PRAN (either the Tier I or Tier II) is inactive/deactive/frozen in the system. MIS

2.2. Capturing and authorization of One way Switch request:

2.2.a. Capturing the request in the system:

• POP/POP-SP shall login to CRA system with DSC based Operational User ID allotted by CRA as given below (*Figure 1*)

Figure 1	
6 NSDL	Central Recordkeeping Agency
News	Welcome to Central Record-Keeping Agency
Subscribers User ID Password Description Submt Reset Forgot Password? > Check Grievance Status > Check Status using Receipt Number	Nodal Offices / Other Intermediaries C I-PIN © Digital Certificate User ID 130001108 Entropy Submt Reset • Check Grievance Status • Check Subscriber Registration Status
Help / Instruction for Login	Help / Instruction for Login
Home Contact Us System Configurat	ion Entrust Secured

• On successful login, the home page containing the various menus, as shown below in **Figure 2** will be displayed.

Welcome Point of Presence-13	006004	16-Jul-2014	Home Logout
Transaction Contribution	etails Subscriber Registration Grievance Exit With	drawal Request User Maintenance Do	cument Management Views Mi
Icheme Preference Do	wnload Nodal Offices Upload S1 Submission Details	Inter Platform Transfer Request	
ntra POP Subscriber Shift Request			
Authorize Transaction			
Tier-2 Activation	Welcome to Central Reco	rdkeeping Anency	
Update Subscriber Details	Click here to view list of Exit Clai	im IDs awaiting any action	
One Way Switch			
Update Subscriber Tier-2 Details			
Subscriber Shifting			
Authorize Subscriber Shifting			
and any in the second se			

Figure 2

- From the menu, POP/POP-SP shall click on the 'Transaction' menu and select the option , 'One way Switch' as shown above in Figure 2
- CRA system will prompt the POP/POP-SP user to capture the PRAN for which one way switch request is to be initiated in the CRA system as shown below in **Figure 3**:

ransaction	Contribut	e-130036004	Subscriber Registration	Grievance Exi	Withdrawal Domost	liser Mainten anco	2014	Kome (Lo	gout Miss
eports [Deshboard	Download	Nodal Offices Upload	S1 Submission Det	illis Inter Platform T	Iransfer Request	oocurrent management	1000	
		One Way	Switch Request						
		100							
						* Mandat	ory Fields		
		PR	AN - 110000615019	X Submit Rese					

• POP/POP-SP user shall enter the PRAN mentioned in the request form and click on the 'Submit' button. Once the 'Submit' button is clicked, a screen as shown below in Figure 4 will be displayed to the POP/POP-SP user.

Figure 4	
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	pint of Presenc	e-130006004				16-Jul-1	2014	Home Lo	gout
nsaction orts [Contribut Dashboard	ion Details Download	Subscriber Registration	n Grievance Exit Wi S1 Submission Details	thdrawal Request Inter Platform 1	User Maintenance Fransfer Request	Document Management	Views	MIS
	۲	One Wa	y Switch Request	9 Submit	Reset V	* Mandato	ory Fields		
		N	ame	SRIVIDHYA MN					
		Re	eceipt No. *	<:					
		0	itati Assault 8		Curitate Tat				

- On submitting the PRAN, the name of the subscriber will appear to the user along with a link to verify the Signature of the Subscriber. The user shall verify the signature appearing in the CRA system with the signature available in the form.
- The user then has to enter the 17 digit Receipt Number as well as the amount for which the switch has to be executed (an additional option of switching the entire holdings too is available) as shown in **Figure 5**.

Figure 5

Welcome Point of Presence	-120006004	10 NSDL
Transaction Contribut Reports Dashboard	ion Details Subscriber Registration Grievance Exit Withdrawal Request Download Nodal Offices Upload S1 Submission Details Inter Platform	• View Signature
*	One Way Switch Request PRAN 1100000015019 Solonit Reset 1 Name SRIVIDHYA M N	M-N-Sahidlyan Signature PRAN 110000615019
	Receipt No. = 2280007610000001	Name SRIVIDHYA M N Note: Close this window to access the mail window.

- The switch request will be captured only in amounts and the same will be made as per the existing scheme allocation ratio for the subscriber.
- On completion of capturing the above said details, the user has to click on the 'Submit' button after verifying the signature of the subscriber with the request form submitted. The system will ask for confirmation or cancellation of capturing process as mentioned in **Figure 6**.

	a warriesence-rowwooda			18-Jul-	2014	Home Lo	pout
insaction	Contribution Details	Subscriber Registration	Grievance Exit Withdrawal Reques	t User Maintenance	Document Management	Views	MIS
ports De	ashboard Download	Nodal Offices Upload S	1 Submission Details Inter Platform	n Transfer Request			
	· Or	ne Way Switch Confirma	ation Screen				
		PRAN	110000615019				
		Name	SRMDHYA MN				
		Receipt No.	22500076100000001				
		Switch Amount	500				

• The user has to click 'confirm' button for the request to get captured. Once the request is successfully captured in the system, the system displays the system generated Acknowledgement number as shown in Figure 7 stating the message 'pending for authorization'

Figure 7

	nt di Presence-13000004		18-Jul-	2014	Home Lo	paut
Transaction	Contribution Details	Subscriber Registration Grievance Exit V	Vithdrawal Request User Maintenance	Document Management	Views	ME
Reports De	ashboard Download	Nodal Offices Upload S1 Submission Detail	s Inter Platform Transfer Request			
		PRAN Name Receipt No.	110000615019 SRWDHYA M N 22600076100000001			
		Acknowledgement No. One Way Switch Request has been accepte Pending Authorization.	4000000323 đ.			

Note: The request will not be captured in the CRA system in the following circumstances: In such case, the reason/error message will be displayed to the user as shown in Figure 8 (few of the below criteria).

> MIS has not been uploaded in the system

- Insufficient balance in Tier II account
- > The Tier I or Tier II is in "Freeze" status
- Previous 'One way switch request' is pending for authorization
- Request not captured during business hours .e.g. approximate business hours may be from 8.30 a.m. to 8.30 p.m. and request captured during the period will be accepted.
- > The amount mentioned to be switched is not numeric or negative (less than zero)
- > The subscriber belongs to government sector.

Figure 8

Dashboard	Download	CGMS BackOffice	Nodal Offices Upload	S1 Submission Details	Transaction Statement	Knowledge Centre				^
		Request	cannot be captured, as e	either MIS for this request	is not uploaded or the MI	S combination may not be	e correct.			
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		One Wey Swite	h Boguoat							
	Ø		n Request							
						* Mandatory Fie	lds			
		PRAN *	110001280242	Submit	Reset View Sig	nature				
		Name	LAXMAN	JBALAPURAM						
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Dashboard Dow	nload CGMS Back	Office Nodal Offices Upload	S1 Submission Details	Transaction Statement	Knowledge Centre		
	۰ (One Way Switch Request					
		PRAN	1100928	78939			
		Name	DICKY	NARAYAN			
		Receipt No.	2260142	2300000011			
		One Way Switch Reques	t has been Rejected.				
		Reason of Rejection *	Insufficie	nt Balance in account			
		Home	Contact Us System	Configuration Entrust Sec	cured		
<		Best view ed in Internet Exp	olorer 7.0 & above or Mozilla Fi	refox Ver 3 & above with a res	olution of 1024 X 768.		
							€ 120%

2.2.b. Authorization of One way switch request

• POP/POP-SP User (Checker/Verifier) user needs to go to menu 'Transaction and click on the option 'Authorize Transaction' as shown below in *Figure 9*



Figure 9

• User has to choose the transaction type as 'One Way Switch' as shown in **Figure 10**.

• Figure 10

Transaction Contribution Details Subscriber Registration Grievance Exit Withdrawal Request User Maintenance Document Management Views Reports Dashboard Download Nodal Offices Upload \$1 Submission Details Inter Platform Transfer Request Document Management Views Image: Contribution Details Download Nodal Offices Upload \$1 Submission Details Inter Platform Transfer Request Views Image: Contribution Details Inter Platform Transfer Request Inter Platform Transfer Request Views Image: Contribution Details Inter Platform Transfer Request Scheme Proference Change Request Views Image: Contribution Details Image: Contribution Details Scheme Proference Change Request Subscriber Details Change Image: Contribution Details Image: Contribution Details Image: Contribution Details Image: Contribution Details Image: Contribution Details Image: Contribution Details Image: Contribution Details Image: Contribution Details Image: Contribution Details Image: Contribution Details Image: Contribution Details Image: Contribution Details Image: Contribution Details Image: Contribution Details Image: Contribution Details <	the second s	And a state of the second			16-Jul	2014	Home Lo	gout
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Transaction Type Select PRANI Scheme Preference Change Request Withdawal Request Withdawal Request Ack No/PRN Subscriber Details Change Intra POP Subscriber Shift Request Receipt No Subscriber Time? Recistration Request From Date The Pate To Date Inter 2 Work and new request					ad above. Washing			
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• On selecting the required option, the screen **below as shown in Figures 11 (a and b)** will be displayed to the user.

where preserves a subscriber Registration Grievance Exit Withdrawal Request User Maintenance Document Management Views MIS Reports Deabboard Download Notal Offices Upload S1 Submission Details Inter Platform Transfer Request Ocument Management Views MIS Reports Deabboard Download Notal Offices Upload S1 Submission Details Inter Platform Transfer Request • Authorize Transaction • Mandstorp Fields • Mandstorp Fields From Date • • **Mandstorp Field • • * ** Enter PRN/Receipt No for Switch transaction and Ack No. Receipt No. for other transactions. * * * * Viektowe Part of Resorce.10000004 Transaction Ontribution Details Subscriber Registration Griewance Exit Withdrawal Request User Maintenance Double dot MIS Viektowe Part of Resorce.10000004 Townel Logod St Subscriber Registration Griewance Exit Withdrawal Request User Maintenance Document Management Views MIS Dashboard Download Cothine Logod St Subscriber Registration Gri		NSD	L		-	/	Cen	tral I	Recordkeep	ping /	\ge1	ncy	
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Dashboard Download CGMS BackOffice Nodal Offices Upload S1 Submission Details Transaction Statement Knowledge Centre Authorize Transaction Transaction Type * One Way Switch v PRAN Ack No/PRN ** Receipt No From Date 02/06/2015 (dd/mm/yyyy) 	Welcome Poi	oint of Presence-1	> ** E	nter PRN/Receipt No f	or Switch transa	ction and Ack No./R Figure 11	Receipt No. for other tr. (b)	ansactions.	02-Jun-2015		Home	Logout	~
Authorize Transaction * Mandatory Fields Transaction Type * One Way Switch PRAN Ack No/PRN ** Receipt No From Date 02/06/2015 (dd/mm/yyyy) To Date 02/06/2015	Welcome Poi	oint of Presence-1 Contribution	> ** E 130006004 1 Details St	nter PRN/Receipt No fi	or Switch transa	ction and Ack No./R Figure 11 Exit Withdrawal Red	Receipt No. for other tr (b) quest User Mainter	ansactions (nance Do	02-Jun-2015 ocum ent Management	Views	Home MIS	Logout Reports	~
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Figure 11 (a)

• As shown in the above **Figure 11(a)** POP/POP-SP shall provide the PRAN or the acknowledgement number generated at the time of capturing of the request.

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User also has the option to search the request by providing the required date range as shown in above in **Figure 11 (b)**.

• After entering the details either the PRAN or the ack. ID as shown above (figure 11(a)), POP/ POP-SP user shall click on the **'Search'** button. Once the user clicks on to the search button, the system pops up with the details about the 'One way switch' requests pending for authorization as shown below in **Figure 12 (a)**

Vietcom	INSL	×13005005						cordkeeping A	Agen	cy
iransacti Deports	on Contribu Deshboard	tion Details Download	Subscriber Registration Nodal Offices Upload	Grievance \$1 Submission	Exit With Details	Irawal Request Inter Platform Tr	User Maintenance ransfer Request	Document Management	Views	MUS
		9000323	MENT NO. RECEIP	T NO: 1000001 1100	PRAN 00615019	MODERCATIO 22-07-2014	1 DATE MODGED BY 130006004	REQUEST TYPE ONE WAY SWITCH		

• The user can also search the pending request for authorization by entering the date range as shown in the above **Figure 11(b)**. The below given **Figure 12 (b)** displays captured request in the specified date range which is pending for authorisation in the system.



Figure 12 (b)

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	A	CKNOW LEDGEMENT N	O. RECEIPTNO.	PRAN	REGISTRATION DATE	REGISTERED BY	REQUEST TYPE	
	400	0000331	22600093400000013	110031161337	02-06-2015	130006006	ONE WAY SWITCH	
	400	10000330	2260008200000012	111002254260	02-06-2015	130006006	ONE WAY SWITCH	
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• To authorize the switch request, the user needs to click on the 'Acknowledgement number' (highlighted in blue color in Figure 12). Once the user clicks the Acknowledgement number, the authorization screen appears as shown in **Figure 13**.

Figure	13
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Or	ne Way Switch Details					^
			Back to F	Results Page		
	Name	NITIN KUMAR GUPTA				
	PRAN	111002254260	View Signature			
	Receipt No.	2260008200000012				
	Acknowledgement No.	400000330				
	Switch Generation Type	Auto investment Choice				
	Contribution Generation Type	Auto investment Choice				
	Switch Total Holdings					
	• At	uthorize 〇 Reject				
	Reason for Rej	ection				- 1
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• The user can either accept or reject the authorization request. In case if the user wants to reject the captured request, the POP/POP-SP user has to select the option as Reject and mandatorily enter the rejection reason in the populated section as shown in the below **Figure 14** and click on submit button

	Fig	gure 14			
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· O	ne Way Switch Details				^
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	Name	RAM KUMAR VADDEM			
	PRAN	110031161337	Vew Signature		
	Receipt No.	22600093400000013			
	Acknowledgement No.	400000331			
	Switch Generation Type	Auto investment Choice			
	Contribution Generation Type	Auto investment Choice			
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• On submission of rejection, the status of rejected request will be displayed in the CRA system as shown in *Figure 15 below* with the rejected reason mentioned in the above **Figure 14** highlighted in red

Figure 15

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Transaction	Contributio	n Details	Subscrit	er Registration	Grievance	Exit Withdrawal	Request U	lser Maintenand	e Documer	nt Manage	ement	Views	MIS	Reports	^
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				Acknowledgemen	it No.	4000	000331								
				Receipt No.		2260	0093400000	013							
				One Way Switch F	Request has	been Rejected.									
				Reason of Reject	ion	Amo	unt is incorr	ect							
				Authorization Time	estamp	2015	-06-02 17:33:	39							
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				Tione			ingeneration								¥
			B	est view ed in Interne	t Explorer 7.0	& above or Mozilla Firefo	ox Ver 3 & abov	e with a resolution	n of 1024 X 768.						

• If all the details captured is correct then the POP/POP-SP user may click 'submit' button as shown below in *Figure 16* to authorize the switch request after verifying the signature in the system.

Figure 16



• After verification, a confirmation screen is displayed along with the details of 'One way switch request' as mentioned in **Figure 17**.

	t of Presence-130006006		16-Jul	-2014	Hame Lo	gout
ransaction	Contribution Details	Subscriber Registration Grievance	Exit Withdrawal Request User Maintenance	Document Management	Views	MI
Reports Da	shboard Download	Nodal Offices Upload S1 Submissio	n Details Inter Platform Transfer Request			
		Name Actnowledgement No.	SRIVIDHYA M N 4000000323			
		Receipt No.	22600076100000001			
		One Way Switch Request has been	Authorized.			

Figure 17

- On authorization of one way switch request, the units to be switched from schemes of Tier II will be blocked immediately.
- Once the request is authorized, the transaction will be effected in the subscribers' account and units will be redeemed from Tier II and reinvested in Tier I account as per the request.
- A sample view of the transaction statement after completion of the switch of units shown as under in Figure 18:

Figure 18

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👍 🖉 Error Message 💋 CRA 🖉 DMS_PFRDA 💋 Fom 16 login 🗊 ManageEngine ServiceDes 🖉 Gmail Email from Google	🧧 HRMIS 🧧 HTR 🧧 IFS Code website 🗜 IFSC

PRAN:	110050994608
Name:	SHRI HEMANTHA KUMAR BALLA
Tier-1 Details:	
Tier-1 Status:Active	Activation Date:22/07/2010
Tier-2 Details:	
Tier-2 Status:Active	Activation Date:24/01/2014
Statement Date:	Aug 28, 2014 02:35 PM
From 01/04/2014	To 28/08/2014

Change in Subscriber details Date Transaction Type Remarks 26/07/2014 ONE WAY SWITCH One Way Switch From Tier-2 To Tier-1 Tier-1 Details Change in Tier details

Date	Transaction Type	Remarks				
06/05/2014	On account of Rebalancing of Assets as per Regulatory Requirement	New % Allocation - Scheme Preference Type- AUTO CHOICE, PFM - SBI PENSION FUNDS PRIVATE LIMITED, Scheme Name - SBI PENSION FUND SCHEME E - TIER I = 44% PFM - SBI PENSION FUNDS PRIVATE LIMITED, Scheme Name - SBI PENSION FUND SCHEME C - TIER I = 27% PFM - SBI PENSION FUNDS PRIVATE LIMITED, Scheme Name - SBI PENSION FUND SCHEME G - TIER I = 29%	Old % Allocation - Scheme Preference Type- AUTO CHOICE, PFM - SBI PENSION FUNDS PRIVATE LIMITED, Scheme Name - SBI PENSION FUND SCHEME E - TIER I = 46% PFM - SBI PENSION FUNDS PRIVATE LIMITED, Scheme Name - SBI PENSION FUND SCHEME C - TIER I = 28% PFM - SBI PENSION FUNDS PRIVATE LIMITED, Scheme Name - SBI PENSION FUND SCHEME G - TIER I = 26%			

Investment Details

		Contribution	T-1-1/D-1	
Date	Particulars	Subscriber Contribution (Rs.)	Total (KS.)	
01/04/2014	Opening Balance	25933.58	25933.58	
01/08/2014	By Contribution - Tier-2 to Tier-1	18908.38	18908.38	
	Total	44,841.96	44,841.96	

Transaction Details

SBI PENSION FUND SCHEME C - TIER I

Trans: Da	action te	Transaction Type	% Allocation	Net Amount (Rs.)	NAV (Rs.)	Credit (Units)	Debit (Units)	Balance Units
01/04/	/2014	Opening Balance						477.6336
06/05/	/2014	To Switch out to SBI PENSION FUND SCHEME G - TIER (GOVERNMENT SECURITIES) On account of Rebalancing of Assets as per Regulatory Requirement	0	82.37	16.7892		4.9065	472.7271
01/08	/2014	By Contribution - Tier-2 to Tier-1	27	5105.26	13.2191	386.2032		858.9303
28/08/	/2014	Closing Balance						858.9303

Value of closing units balance at NAV of 18.1191 as on 04/08/2014 is Rs. 15,563.04

SBI PENSION FUND SCHEME E - TIER I

Transaction Date	Transaction Type	% Allocation	Net Amount (Rs.)	NAV (Rs.)	Credit (Units)	Debit (Units)	Balance Units
01/04/2014	Opening Balance						1007.9312
06/05/2014	To Switch out to SBI PENSION FUND SCHEME G - TIER (GOVERNMENT SECURITIES) On account of Rebalancing of Assets as per Regulatory Requirement	0	1337.38	14.2030		94.1624	913.7688
01/08/2014	By Contribution - Tier-2 to Tier-1	44	8319.68	13.0111	639.4294		1553.1982
28/08/2014	Closing Balance						1553.1982
			D- 07 07 / 00				
Value of clo	using units balance at NAV of 18.0111 as on	04/08/2014 is	s RS. 27,974.80				

SBI PENSION FUND SCHEME G - TIER I

Transaction Date	Transaction Type	% Allocation	Net Amount (Rs.)	NAV (Rs.)	Credit (Units)	Debit (Units)	Balance Units
01/04/2014	Opening Balance						472.7500
09/05/2014	By Switch In From SBI PENSION FUND SCHEME E - TIER I(EQUITY) On account of Rebalancing of Assets as per Regulatory Requirement	0	1337.38	15.0703	88.7427		561.4927
09/05/2014	By Switch In From SBI PENSION FUND SCHEME C - TIER ((CORPORATE BONDS) On account of Rebalancing of Assets as per Regulatory Requirement	0	82.37	15.0703	5.4657		566.9584
01/08/2014	By Contribution - Tier-2 to Tier-1	29	5483.44	13.1231	417.8463		984.8047
28/08/2014	Closing Balance						984.8047
/alue of clos	ing units balance at NAV of 18.1231 as on	04/08/2014	is Rs. 17,847.7	1			
	<u> </u>	4/08/2044 :	Do. 04 295 55				

3. <u>Timelines</u>

- On execution of the request on day T, the units from Tier II will be blocked immediately. Redemption (withdrawal of units) will happen on T or T+1 depending on authorization of request before or after Pay-In (i.e. 1.30 p.m.). T being the date of execution.
- The Latest available NAV will be considered for units' redemption.
- On T+3, units will be credited in the subscriber's Tier I account as per the scheme preference selected for Tier-I.

This is explained with an example as given below:

- ✓ One Way switch request is authorized on July 02, 2015 after Pay-In (referred as T). The request will be executed on the next settlement day i.e., on July 03, 2015 (referred as Day T+1).
- ✓ The latest available NAV (i.e., NAV of July 02, 2015) will be considered for withdrawal of units from the subscriber's Tier II account.
- ✓ The units will be redeemed by the PFMs on July 03, 2015 on the basis of NAV of July 02, 2015.
- ✓ On T+4 day (i.e. on July 06, 2015), units as per new 'scheme preference' will be credited in subscriber's account.
